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613 254 9222 P.02/03

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07/14/2006 ISSUE 00000050 09832980

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(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/832,980	04/12/2001	Richard Geiss	10868-US	9734

**TITLE OF INVENTION:** METHOD AND APPARATUS FOR CONNECTING BROADBAND VOICE AND DATA SIGNALS TO TELEPHONE SYSTEMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	<del>XXXX</del> YES	\$1400	\$300	\$1700	07/18/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PHAM, TUAN	2618	379-399010

<b>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</b> <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. <input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.	<b>2. For printing on the patent front page, list</b> (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
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**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT** (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
**1021 Technologies KK**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)  
**YOKOHAMA CITY, JAPAN**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

<b>4a. The following fee(s) are enclosed:</b> <input checked="" type="checkbox"/> Issue Fee <input checked="" type="checkbox"/> Publication Fee (No small entity discount permitted) <input checked="" type="checkbox"/> Advance Order - # of Copies <b>TWO</b>	<b>4b. Payment of Fee(s):</b> <input type="checkbox"/> A check in the amount of the fee(s) is enclosed. <input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <input type="checkbox"/> The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).
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**5. Change in Entity Status** (from status indicated above)  
☒ a. Applicant claims **SMALL ENTITY** status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming **SMALL ENTITY** status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
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Authorized Signature Thomas Adams  
Typed or printed name **Thomas Adams**

Date 14<sup>th</sup> July 2006  
Registration No. 31078

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P.01/03

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* patent application of Richard GEISS *et al.*

Attorney Docket No. AP1103US

Serial No. 09/832,980

Group Art Unit: 2643

Filed: April 12, 2001

Examiner: PHAM, Tuan

For: Method and Apparatus for Connecting Broadband Voice and Data Signals to  
Telephone Systems

Allowed: April 18, 2006

Customer No. 33361

Confirmation No. 9734

The Commissioner for Patents and Trademarks  
P.O. Box 1450  
Alexandria VA 22313-1450, U.S.A.

FAX No: 1 571 273 2885

Date: 14 July 2006

Sir:

Transmitted herewith is the Issue Fee transmittal sheet part B for the above-identified application.

Please deduct the total fees of \$1,006.00 from my Credit Card (see accompanying Form 2038). Although this amount is believed to be correct, if it is not, the Commissioner is hereby authorized to deduct the difference from our Deposit Account No. 20-0771.

Respectfully submitted.

Thomas Adams, Reg. No. 31078

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted to the United States Patent and Trade-mark Office, Facsimile No. (571)273 2885, on the date shown below.

14<sup>th</sup> July 2006  
Date

  
Thomas Adams

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